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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D. C. 20231.

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PATENT 141815-5 (34655)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BRUCE R. KORMAN

Serial No.:

09/347,069

Filed:

July 2, 1999

Title: MULTI-TRANSACTIONAL

ARCHITECTURE

Art Unit: 2876

Examiner: Karl D. Frech

Batch No. F01

# PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Assistant Commissioner for Patents Attention: Issue Branch Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.48, the Applicant hereby petitions for correction of the inventors named in the above-identified patent application. The application should properly identify Bruce R. Korman **and** Darryl J. Bergman as the actual inventors of the application. In support of this petition, the Applicant has enclosed the following:

- 1. Statement of Facts re Correction of Inventorship from Darryl J. Bergman;
- 2. Declaration and Petition executed by each actual inventor (copy);
- 3. A check in the amount of \$130.00 for the petition fee as set forth in 37 C.F.R. §1.17(h); and
- 4. Assent of Assignee to Correction of Inventorship.

Match & Return

Serial No. 09/347,069 May 29, 2001 Page 2

The error in naming less than the actual inventors was made without deceptive intent.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0639. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Date: May 29, 2001

Brian M. Berliner Attorney for Applicant Registration No. 34,549

O'MELVENY & MYERS LLP

400 South Hope Street Los Angeles, CA 90071-2899 Telephone: (213) 430-6000



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### STATEMENT OF FACTS RE CORRECTION OF INVENTORSHIP

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

- I, Darryl J. Bergman, declare as follows:
- 1. I am currently employed by Cash Technologies, Inc., the assignee of all rights in the patent application.
- 2. I am an inventor in the above-identified patent application, entitled MULTI-TRANSACTIONAL ARCHITECTURE, filed on July 2, 1999. I was inadvertently not listed as an inventor in the application as filed. This Statement Of Facts is provided in support of a Petition For Correction Of Inventorship submitted concurrently herewith.
- 3. The failure to include my name as an inventor in this application occurred without any deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application or any patent issued thereon.

Date: 3/30/2001

Darryl J. Bergman



# **DECLARATION AND PETITION**

141815-5

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe that we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled MULTI-TRANSACTIONAL ARCHITECTURE, the specification of which was filed with the U.S. Patent and Trademark Office on July 2, 1999, bearing Serial No. 09/347,069.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: NONE

### PRIOR FOREIGN APPLICATION(S) Priority claimed Date Filed Yes No Number Country Priority claimed Date Filed Yes No Number Country We hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below: 60/067,123 December 2, 1997 Filing Date Application Serial No. Application Serial No. Filing Date

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We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: NONE

PCT/US98/25541	December 2, 1998
Application Serial No.	Filing Date
Application Serial No.	Filing Date

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Correspondence should be addressed to:

Brian M. Berliner O'MELVENY & MYERS LLP 400 South Hope Street Los Angeles, California 90071-2899 Telephone (213) 430-6000

Wherefore we pray that Letters Patent be granted to us for the invention or discovery described and claimed in the foregoing specification and claims, and we hereby subscribe our names to the foregoing specification and claims, declaration and petition.

Inventor's signature:

Date:

Residence: 1434 West 11th Street, Los Angeles, California 90015

Citizenship: U.S.A.

Post Office Address: Same as above

Full name of second inventor: Darryl Jesse Bergman

Inventor's signature:

4200 Laurel Canyon Blvd., #103, Studio City, CA 91604 Residence: 1555182 1/2018

Citizenship: U.S.A.

Post Office Address: Same as above



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**ARCHITECTURE** 

Art Unit: 2876

Examiner: Karl D. Frech

## ASSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

CASH TECHNOLOGIES, INC., the assignee of all rights in the above-identified patent application by virtue of an assignment forwarded to the Assignment Branch of the U.S. Patent and Trademark Office on May 1, 2000, for recordation (copy enclosed), hereby assents to the correction of inventorship filed concurrently. The correction of inventorship amends the application to correctly identify Bruce R. Korman and Darryl J. Bergman as the actual inventors of the application.

In accordance with 37 C.F.R. § 3.73, the assignee hereby certifies that the evidenciary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

CASH TECHNOLOGIES, INC.

Data:

Bruce R. Korman

President